

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademagk Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/717,428

11/19/2003

Tom Wells

5384/55446

Patula & Associates, P.C. 14th Floor 116 South Michigan Avenue Chicago, IL 60603



**CONFIRMATION NO. 7011 FORMALITIES LETTER** \*OC000000012433678\*

Date Mailed: 04/23/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/27/2004 BABRAHA1 00000078 10717428

FILED UNDER 37 CFR 1.53(b)

02 FC:1051 03 FC:1202 04 FC:1201

770.00 OP 130.00 OP 270.00 DP

344.00 DP

Filing Date Granted

**Items Required To Avoid Abandonment:** 

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 5.
  - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(I) and (p)(1)); See Figure(s) 5.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$614 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1514 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$614
  - \$344 for 4 independent claims over 3.
  - \$270 for 15 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

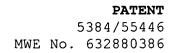
A copy of this notice <u>MUST</u> be returned with the reply.

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**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For:	METHOD AND SYSTEM FOR	)				
Filed:	November 19, 2003	)				
Serial No.:	10/717,428	)	Art	Group	Unit:	3624
Applicant:	Wells et al.	)				

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 7-23, 2004.

Charles T. Riggs, Jr., Jeg. No. 37,430 Date

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed April 23, 2004, please find enclosed the following:

- 1. An executed Declaration;
- 2. An executed Power of Attorney by Assignee;
- 3. An executed Assignment and Recordation Cover Sheet;
- 4. Replacement drawings (6 sheets);
- 5. A Petition for an Extension of Time;

- 6. A Form PTO-2038 authorizing the Commissioner to charge the amount of \$1,664.00 (in payment of the \$130.00 surcharge fee for a large entity; the \$40.00 fee to record the assignment; the \$110.00 extension fee and the \$1,384.00 filing fee); and
- 7. A copy of the Notice to File Missing Parts of Nonprovisional Application.

The Commissioner is hereby authorized to charge any deficient or additional fees which may be required, including if necessary the above fees if there is any problem with the credit card charge, or credit any overpayment to Deposit Account No. 16-0657.

A postcard is enclosed evidencing receipt of the same.

Respectfully submitted,

PATULA & ASSOCIATES, P.C.

Charles T. Riggs Jr.

Req. No. 37,430

One of the Attorneys for Applicant

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